

Customer Relationship Summary

Global Pacific Securities US, Inc. is registered with the Securities and Exchange Commission and Financial Industry Regulatory Authority (FINRA) as a broker-dealer. Brokerage and investment advisory services and fees differ and it is important for you to understand the differences.

This document is a summary of the services and fees we offer to Retail Investors which are natural persons who seeks or receives securities services primarily for personal, family, or household purposes. Additionally, free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://investor.gov/CRS) which also provides educational materials about broker-dealers, investment advisers, and investing.

What investment services and advice can you provide me?

As a broker-dealer, we can recommend and effect securities transactions for you, including buying and selling securities. The securities we can offer and recommend to you include Mutual Funds, Equities, Corporate and US Government bonds, and Private Placements. The products we sell may be held in a brokerage account maintained with our clearing firm or directly with the issuer ("direct account"). Some products have investment amount minimums which are established by the issuer of the products.

We make available various types of accounts through which you can effect transactions and hold securities, such as direct accounts, retirement accounts (e.g., IRAs, Roth IRAs, or SEP-IRAs), and various types of brokerage accounts (e.g., cash accounts maintained with our clearing firm). Different types of accounts offer access to different investments and have different features and fees.

You may select investments or we may recommend investments to you but, you make the ultimate decision on the purchase or sale of investments. Ongoing monitoring of your investments is not part the services we provide. Your financial professional may voluntarily review account holdings from time to time, but these reviews are not an account monitoring service.

For parameters of the services, accounts and investments we can offer please see our website at <https://globalpacificsecurities.com>.

Key Questions to Ask Your Financial Professional

- ✓ **Given my financial situation, should I choose a brokerage service? Why or Why Not?**
- ✓ **How will you choose investments to recommend to me?**
- ✓ **What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?**

What fees will I pay?

The principal fees and costs associated with engaging our firm for brokerage services include: Commissions and product-level fees related to the investments you purchase. These fees are generally charged on a per transaction basis and are based on the products sold; therefore we have an incentive to encourage a retail investor to trade often. Other fees related to our brokerage services may include custodian fees, account maintenance fees and other transactional and product-level fees.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

Key Questions to Ask Your Financial Professional

- ✓ **Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?**

For detailed information regarding our fees, refer to the disclosures on our website at <https://globalpacificsecurities.com>.

What are your legal obligations to me when providing recommendations? How else does your firm make money and what conflicts of interest do you have?

When we provide you with a recommendation, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the recommendations we provide you. Here are some examples to help you understand what this means.

- **Limited Investment Offerings:** Some of our financial professionals may only be licensed to sell specific investments therefore, they cannot provide the full range of broker-dealer investments and services we make available. Other firms and/or financial professionals could offer a wider range of choices, some of which might have lower costs.
- **Trail Compensation:** Our firm may receive ongoing compensation (commonly known as trails, service fees or 12b-1 fees) from mutual fund companies. This compensation is typically paid from the assets of the investment product under a distribution or servicing arrangement and it is shared with our financial professionals. We have an incentive to recommend that you purchase and hold interests in these products that pay us trails.

Key Question to Ask Your Financial Professional

- ✓ **How might your conflicts of interest affect me, and how will you address them?**

How do our financial professionals make money?

The financial professional servicing your account(s) are compensated through commissions which can vary depending on the products sold. This compensation presents a conflict of interest because the more they sell, the more they earn. They may also receive non-cash compensation in the form of invitations to issuer sponsored conferences, due diligence meetings and/or sponsor-paid entertainment events.

Do you or your financial professionals have legal or disciplinary history?

No. Disclosures for our firm or our financial professionals can be found by going to Investor.gov/CRS.

Key Questions to Ask Your Financial Professional

- ✓ **As a financial professional, do you have any disciplinary history? For what type of conduct?**

You can find additional information about our brokerage services by visiting our website at <https://globalpacificsecurities.com>. To request a copy of our relationship summary, please contact us at 917-970-0898.

Key Questions to Ask Your Financial Professional

- ✓ **Who is my primary contact person?**
- ✓ **Is he or she a representative of an investment adviser or a broker-dealer?**
- ✓ **Who can I talk to if I have concerns about how this person is treating me?**